# ORIGINAL

SHAPIRO LAW FIRM
A Professional Corporation



1 SHAPIRO LAW FIRM, P.C. Jay L. Shapiro (No. 014650) 2 1819 E. Morten Avenue, Suite 280 Phoenix, Arizona 85020 3 Telephone (602) 559-9575 jay@shapslawaz.com 4 Attorneys for Pima Utility Company 5 6 BEFORE THE ARIZONA CORPORATION COMMISSION 7 IN THE MATTER OF THE APPLICATION 8 DOCKET NO: SW-02199A-16-0380 OF PIMA UTILITY COMPANY, AN Arizona Corporation Commission 9 ARIZONA CORPORATION, FOR DOCKETED AUTHORITY TO (1) ISSUE EVIDENCE OF 10 INDEBTEDNESS ÌN AN AMOUNT NOT TO NOV 0 1 2016 EXCEED \$8,370,000; AND (2) ENCUMBER 11 REAL PROPERTY AND PLANT AS SECURITY FOR SUCH INDEBTEDNESS. DOCKETED BY 12 IN THE MATTER OF THE APPLICATION <del>02199A</del>-16-0381 13 OF PIMA UTILITY COMPANY, AN ARIZONA CORPORATION, FOR 14 AUTHORITY TO (1) ISSUE EVIDENCE OF INDEBTEDNESS ÌŃ AN AMOUNT NOT TO 15 EXCEED \$8,370,000; AND (2) ENCUMBER NOTICE OF FILING PROOF OF REAL PROPERTY AND PLANT AS **PUBLICATION** 16 SECURITY FOR SUCH INDEBTEDNESS. 17 18 Pima Utility Company ("Company") hereby submits this Notice of Filing Proof of 19 Publication in the above-referenced consolidated docket numbers. 20 On October 27, 2016, the Company published notice of its financing application in 21 the Arizona Business Gazette. The Affidavit of Tabitha James, Supervisor of the Arizona 22 Business Gazette, is attached as Exhibit A. 23 24 25 26

2 SHAPIRO LAW/FIRM, P.C. 3 4 By: Jay L. Shabilo 5 1819 E. Morten Avenue, Suite 280 Phoenix, AZ 85020 6 jay@shapslawaz.com whitney@shapslawaz.com 7 Attorneys for Pima Utility Company 8 9 **ORIGINAL** and thirteen (13) copies of the foregoing were delivered 10 this 1st day of November, 2016, to: 11 **Docket Control Arizona Corporation Commission** 12 1200 W. Washington Street Phoenix, AZ 85007 13 **COPY** of the foregoing was hand-delivered 14 this 1st day of November, 2016, to: 15 Sasha Paternoster, ALJ Hearing Division 16 Arizona Corporation Commission 1200 W. Washington Street 17 Phoenix, AZ 85007 18 **COPY** of the foregoing was emailed this 1st day of November, 2016, to: 19 Janice Alward, Legal Division Director 20 Thomas Broderick, Director **Arizona Corporation Commission** 21 1200 W. Washington Street Phoenix, AZ 85007 22 JAlward@azcc.gov TBroderick@azcc.gov 23 By: Whitney Bish 24 25

RESPECTFULLY SUBMITTED this 1st day of November, 2016.

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# **EXHIBIT A**

## PUBLIC NOTICE OF PIMA UTILITY COMPANY'S FINANCING APPLICATION Docket Nos. SW-02199A-16-0380 and W-02199A-16-0381

On October 20, 2016, Pima Utility Company ("Company" or "Applicant") filed an application with the Arizona Corporation Commission ("Commission") for an order authorizing Applicant to issue evidence of indebtedness in an amount not to exceed \$8,370,000. The purpose of the financing is to (1) fund improvements to Pima's system, (2) replace existing debt, and (3) rebalance the Company's capital structure. The Commission may approve, disapprove, or approve the financing request with modifications. The Commission may act on the financing request with or without a hearing.

How to View the Application and Other Filings
Copies of the application are available from Pima
Utility Company at 9532 E. Riggs Road, Sun Lakes,
AZ 85248, or by calling 480-895-4245; and they are
available for inspection during regular business hours
at the Commission's Docket Control Center at 1200
West Washington Street, Phoenix, AZ, 85007. All fillings
in this matter are also available via the Commission's
website (www.azcc.gov) using the e-Docket
function and Docket Nos. SW-02199A-16-0380 and
W-02199A-16-0381.

**How Interested Persons May Participate** 

An interested person may participate in this matter by (1) providing written public comment, or (2) filing for intervention and becoming a formal party to the proceeding. Written public comments must refer to Docket Nos. SW-02199A-16-0380 and W-02199A-16-0381 and may be submitted at any time as follows:

By Mail: Arizona Corporation Commission Consumer Services Section 1200 West Washington Street Phoenix, AZ 85007

On the Website: <a href="www.azcc.gov">www.azcc.gov</a> using the link "Submit a Public Comment." Anyone requiring assistance may contact the Consumer Services Section at 1-800-222-7000 or 602-542-4251.

### **About Intervention**

Any person or entity entitled by law to intervene and having a direct and substantial interest in the matter will be permitted to intervene. Intervention entitles a person to participate as a party and, if a hearing is held, to present sworn testimony and evidence and cross-examine witnesses. Persons who do not intervene will receive no further notice of the proceedings in this docket. The granting of motions to intervene shall be governed by A.A.C. R14-3-105, except that the person requesting intervention must file an original and 13 copies of a written motion to intervene with the Commission's Docket Control Center on or before November 29, 2016, and must send a copy of the motion to Pima Utility Company or its counsel. Confact information for the Applicant and other parties is available using the eDocket function and Docket Nos. SW-02199A-16-0380 and W-02199A-16-0381.

#### AFFIDAVIT OF PUBLICATION

PIMA UTILITY COMPANY'S FINANCING APPLICATION

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PO BOX 194 Phoenix, Arizona 85001-0194 (602) 444-7315 FAX (602) 444-5901

STATE OF ARIZONA COUNTY OF MARICOPA SS.

Tabitha James, being first duly sworn, upon oath deposes and says: That she is the Supervisor of the Arizona Business Gazette, a newspaper of general circulation in the counties of Maricopa, Coconino, Pima and Pinal, in the State of Arizona, published weekly at Phoenix, Arizona, and that the copy hereto attached is a true copy of the advertisement published in the said paper on the dates indicated.

10/27/2016

Sworn to before me this 27TH day of OCTOBER 2016



Notary Public

soci. Jim Each motion to intervene must include the Docket Number and contain the following:

 The name, address, and telephone number of the person requesting intervention and of any person upon whom service of documents is to be made, if not the same person;

A short statement of the proposed intervenor's interest in the proceeding (e.g., a customer of the Applicant, a member or shareholder of the

Applicant, etc.);

 Whether the proposed intervenor desires a formal evidentiary hearing on the application and the reasons for such a hearing;

- A statement certifying that a copy of the motion to intervene has been mailed to the Applicant or its counsel and to all parties of record in the case; and
- Information and any appropriate documentation demonstrating compliance with Arizona Supreme Court Rules 31, 38, and 42, as applicable, unless the proposed intervenor is an individual representing himself or herself, or is represented by an attorney who is an active member of the Arizona State Bar.

A sample intervention request form is available at: http://www.azcc.gov/divisions/utilities/forms/interven.pdf.

**ADA/Equal Access Information** 

The Commission does not discriminate on the basis of disability in admission to its proceedings. Persons with a disability may request a reasonable accommodation such as a sign language interpreter, and may request this document in an alternative format, by contacting ADA Coordinator Shaylin Bernal, at SBernal@azcc.gov, voice phone number 602-542-3931. Requests should be made as early as possible to allow time to arrange the accommodation.